General Information

APPLICATION FOR CATEGORY 1™ CREDIT DESIGNATION FOR A PERFORMANCE IMPROVEMENT CME (PI CME) PROJECT

The American Medical Association recognizes continuing medical education occurring through participation in “performance improvement” activities (PI CME). Physicians may receive 20 AMA PRA Category 1 Credits™ if they participate in a performance improvement (PI) activity that involves one improvement cycle. The 20 credits are the total of 5 credits each for the following:

1. Reviewing baseline data, identifying root causes, and planning interventions
2. Implementing interventions
3. Reviewing post-intervention, identifying current root causes, and planning adjustments/additional interventions
4. Summarizing changes

QI activities that involve a second linked cycle of improvement are eligible for a total of 30 credits in PI CME. The second cycle adds both implementation of adjustments/additional interventions [5 credits] and reviewing post-adjustment data, identifying current root causes, and planning further adjustments/interventions [5 credits].

Apply for CME credit before physicians participate. Applications for PI CME must be submitted and approved before participants begin their review of current/baseline data. The disclosures of conflicts of interest must occur before physicians participate substantively in a CME activity. If a project has reached the stage of participants reviewing the current/baseline data before CME credit has been applied for and approved, the project is not eligible for CME credit.

The University of Michigan Medical School (UMMS) is accredited by the Accreditation Council for Continuing Medical Education (ACCME) to provide continuing education for physicians. As an accredited provider, the University of Michigan Medical School, through the Office of Continuing Medical Education and Lifelong Learning (OCME&LL), can designate an activity developed by the Medical School for AMA PRA Category 1 credit.

Each section of this application is designed to meet various ACCME, AMA, and UMMS requirements. In completing the application, the applicant (UMMS Faculty Planner/Project Lead) is assisting the OCME&LL in documenting that all of the requirements will be met. ACCME randomly audits category 1 CME activities to verify...
compliance (and documentation of compliance) with ACCME requirements.

**UMHS & Non-UMHS Fees** Please contact OCME&LL for information regarding fees.

*Note* *Effective July 1, 2014, applications needing a full review and approval within ten business days or less will be charged a $200 rush fee upon approval.*

**APPLICATION: TIPS & TRICKS**

All progress is automatically saved. If you don't finish the application in one sitting, the form will remember where you left off and bring you back to that point the next time you sign in.

The link you are using to access the application is unique. It can be shared with anyone else who may need to access, review, or edit the application prior to submission to OCME&LL.

Navigate using the "Previous" and "Next" buttons, not the browser buttons.

Only relevant questions will display. Built-in logic displays only those questions that you need to answer, and skips those that aren't relevant to your activity.

Click on the blue links for additional information (i.e. examples, additional required documentation).

Attach additional documentation at the end of the application. If you don't have everything gathered and in PDF format, you can always come back (remember, your progress will be saved).

Incomplete applications will not be processed. All required documentation must be attached for submission.

**DEPARTMENTAL APPROVAL PROCESS**

- **Electronic signature now required for Faculty Planner.** The Faculty Planner completing this form (or his/her designee) will be required to enter an electronic signature, indicating that the Faculty Planner agrees the activity will adhere to all ACCME and UMMS requirements as described in the application.

- A summary of the application and attachments will be emailed upon submission to the Faculty Planner, Administrative Contact (if applicable), and the Department Chair.

- **Department Chair approval** will be requested via the email sent upon submission (see above).

- Department Chair will approve application in one of two ways:
• Reply to the automated email, indicating approval, questions, or comments. The reply email replaces the need for a physical signature.
• Print the email, sign and date anywhere in the margin, and fax to 647-2928.

• **Applications that are not returned signed within 30 days** will be considered inactive and will need to be resubmitted by the Faculty Planner in order to receive credit.

### GENERAL INFORMATION

**What is the title of this PI CME activity?**


**What is the start date of this activity?** *(MM/DD/YYYY)*


**What is the end date of this activity?** *(MM/DD/YYYY)*


**Where will this activity take place?**

- Ann Arbor, MI
- **Other Provide city/state**

**The UMMS unit sponsoring this activity is:**


**The Internal Medicine Division is:**


The Surgery Section is:

Faculty Planner/Project Lead
Faculty member with a Medical School appointment responsible for educational planning, content delivery, and financial oversight of this activity.

First Name
Last Name
Degree
Campus Address
SPC Code
Phone
Email

Activity Coordinator
Staff member assisting the Faculty Planner with the administrative and operational functions of this activity.

First Name
Last Name
Campus Address
SPC Code
Phone
Email

Department Chair or equivalent leader authorizing this activity

First Name
Last Name
CME activities are occasionally jointly provided with:

- **Other Schools at the University of Michigan**, e.g., School of Pharmacy, School of Engineering
- **Groups or organizations external to the University**, e.g., specialty societies, non-profit 501(c) organizations. If the external group or organization is not under the direct administrative and financial oversight of the University of Michigan Health System, the organization is considered to be a joint provider. *(Note: the Veterans Administration Ann Arbor Healthcare System is considered to be under UMHS due to joint oversight of physicians practicing there.)*

Whether a CME activity is directly or jointly provided, the chair of the sponsoring department within the University of Michigan Medical School and the UMMS faculty planner designated by the chair assume full responsibility for educational planning, content delivery, and financing of the CME activity and for meeting ACCME requirements for **AMA PRA Category 1 credit**.

**Is this activity jointly provided with a non-Medical School unit or external group/organization?**

- [ ] Yes
- [ ] No

**What non-Medical School organization(s) is/are jointly providing this activity?**

<table>
<thead>
<tr>
<th>Name of Organization</th>
<th>Contact at Organization</th>
<th>Title</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>Phone</th>
<th>Email</th>
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</table>
Did the University of Michigan Medical School Faculty Planner/Project Lead responsible for this activity participate in the assessment of the educational needs of the target audience before the activity was developed?

- Yes
- No

Did the University of Michigan Medical School Faculty Planner/Project Lead responsible for this activity participate in the development of the objectives of the activity?

- Yes
- No

Did the University of Michigan Medical School Faculty Planner/Project Lead responsible for this activity participate in the selection of speakers and content topics?

- Yes
- No

REQUIRED: A copy of the proposed budget for the expenses of this activity is required for the activity file. An example Budget for Jointly Provided Activities is available [here](https://umichumhs.ut1.qualtrics.com/ControlPanel/Ajax.php?action=GetSurveyPrintPreview)

Does the University of Michigan Medical School Faculty Planner/Project Lead know the administrative arrangements regarding the activity, including the planned and actual expenditures and their appropriateness?

- Yes
- No

Will the Medical School's name appear prominently as a joint provider on all promotional materials and printed programs?

- Yes
- No
If the activity is audited by ACCME for compliance with the above requirements and adherence to policies in the application form (e.g., handling of commercial funds), can the Faculty Planner/Project Lead produce documentation demonstrating compliance?

☐ Yes
☐ No

Beside the Faculty Planner/Project Lead, are there other individuals who have a role in planning the content of this activity?

☐ Yes
☐ No

If yes, please list other individuals (e.g., co-planners) who have a role in planning educational content of the activity. If the activity is jointly provided, include planners representing the joint provider.

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

Co-Planner/Co-Lead

EDUCATIONAL PLANNING

Problems with Current Professional Practice [Practice Gaps]

Planning begins by identifying practice gaps (i.e. gaps between current practice and ideal practice) found within the target audience (e.g., physician awareness, patient
outcomes to improve, new methods of diagnosis and/or treatment to implement, not adequately implementing existing methods of diagnosis and/or treatment).

**Direct information about practice gaps within the target audience have been identified through:** *(Check all applicable statements.)*

- Medical audit or other patient-care reviews
- Problem cases and uncommon cases
- Referrals
- Participant perceptions of problems / needed change (e.g., group discussion, interview, questionnaire)
- Observations of CME planner, clinical leader(s), or others
- Other

**Broad-based information about practice gaps likely to apply to practices of the target audience have been identified through:** *(Check all applicable statements.)*

- Publications/scientific literature about clinical innovations in practice
- Reports on regional/national performance in practice
- Presentations at national professional meetings about needed change in practice
- Review of Board Exam requirements
- Planned periodic survey of the field
- Other

**Leaders in the sponsoring unit (e.g., department, division, section) who identified problems:** *(Check all applicable statements.)*

- Inpatient clinical activities (e.g., service chief)
- Outpatient clinical activities (e.g., clinic director, health center medical director)
- Quality improvement leader
- Compliance officer
- Other leader
What types of practice gaps in care provided by the target audience did you identify? (e.g., physicians not aware of new methods for diagnosis and treatment; aspects of care to improve; patients outcomes to improve.) For CME activities addressing many topics, the types of problems will necessarily be phrased in general terms with area of practice noted.

How to phrase a general practice gap: Physicians are not aware of new techniques in several aspects of pediatric liver transplant.

Related Educational Needs of Individuals

Please provide a specific example of the educational need of participants that this activity will address for the specific practice gap in physician awareness, patient care, or patient status described immediately above.

How to phrase a specific educational need: Physicians need to understand new anesthesia techniques for pediatric liver transplant.

What are the general types of educational needs of the target audience that, if met, would help address the overall practice gaps and bring about desired change(s) in the practice of the target audience?

Educational needs typically include both:

• **Knowledge/skills** – information about new scientific knowledge/skills and review of current knowledge/skills

• **Ability to implement changes to apply knowledge/skills in practice** – i.e. how to identify and overcome barriers and how to recognize and build on facilitating factors (e.g., quick references, patient education material, reminders, use of health care team
The following ACGME/ABMS Core Competencies will be addressed by this activity: (Check all that apply.)

**Medical Knowledge**

<table>
<thead>
<tr>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Know &amp; apply basic and clinically supported sciences</td>
<td>☐</td>
</tr>
<tr>
<td>Investigatory and analytical thinking approach to clinical situations</td>
<td>☐</td>
</tr>
<tr>
<td>Know how to perform medically-related research</td>
<td>☐</td>
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</table>

**Patient Care**

<table>
<thead>
<tr>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Informed decisions about diagnosis, treatment, and management</td>
<td>☐</td>
</tr>
<tr>
<td>Perform medical and invasive procedures</td>
<td>☐</td>
</tr>
<tr>
<td>Preventive health care services and maintaining health</td>
<td>☐</td>
</tr>
</tbody>
</table>

**Practice-Based Learning and Improvement**

<table>
<thead>
<tr>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Analyze practice experience and improve practice</td>
<td>☐</td>
</tr>
<tr>
<td>Use information technology, locate and assess evidence</td>
<td>☐</td>
</tr>
</tbody>
</table>

**Interpersonal and Communication Skills**
<table>
<thead>
<tr>
<th>Professionalism</th>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patient relationship; effective listening and effective information provision</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Work effectively with others in health care team and professional group</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Professionalism</th>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Respect, compassion, integrity; medical ethics; medico-legal issues</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Sensitivity to diverse patient population (culture, age, gender, etc.)</td>
<td>□</td>
<td>□</td>
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<table>
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<tr>
<th>Systems-Based Practice</th>
<th>Knowledge/Skills</th>
<th>Ability to implement changes</th>
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<tbody>
<tr>
<td>Cost-effective health care that does not compromise care quality</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Multispecialty/multidisciplinary coordination of care</td>
<td>□</td>
<td>□</td>
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</table>

**Does this activity address any of the institutional priorities below? (Check all that apply)**

<table>
<thead>
<tr>
<th>Institutional Priorities</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical discovery</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>Patient quality/safety</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>Health promotion</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>Professional development</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>Health equity</td>
<td>○</td>
<td>○</td>
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</table>
### Does this activity address public health priorities in any of the following ways?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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</table>

- Teaches about the collection, analysis, or synthesis of health/practice data, AND uses health/practice data to teach about healthcare improvement.
- Addresses factors beyond clinical care that affect the health population (e.g., health behaviors, economic, social, environmental conditions; healthcare and payers systems; access to care, health disparities; or the population's physical environment.
- Collaborates with one or more healthcare or community organizations that augment the institution's ability to address population health issues.

### Does this activity promote team-based education in any of the following ways?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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- Includes both planners AND faculty presenters from more than one profession (e.g., nursing, social work), AND is designed to change competence and/or performance of the healthcare team.
- Includes both planners AND faculty presenters who are patients and/or public representatives.
- Includes both planners AND faculty who are students of the health professions.

### Is this CME activity designed to enhance skills in any of the following ways?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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- Designed to improve, evaluate (in person or video), and provide formative feedback to the learner about their communication skills.
- Addresses technical and/or procedural skills, evaluates those skills (in person or video), and provides formative feedback to the learner.
- Tracks the learner's repeated engagement with a longitudinal curriculum/plan over weeks or months AND provides individualized feedback to the learner to close practice gaps.
- Utilizes support strategies (e.g., reminders) to enhance change as an adjunct to CME activities AND conducts a periodic analysis to determine the effectiveness of the support strategies, AND plans improvement of those support strategies.
Content Appropriateness

Content Validation

Accredited providers are responsible for validating the clinical content of their CME activities. Specifically:

- All the recommendations involving clinical medicine in a CME activity must be based on evidence that is accepted within the profession of medicine as adequate justification for their indications and contraindications in the care of patients.
- All scientific research referred to, reported or used in CME in support or justification of a patient care recommendation must conform to the generally accepted standards of experimental design, data collection and analysis.

Will the faculty planner/project lead assure the validity of the clinical content in planning the activity and overseeing its presentation?

☐ Yes
☐ No

Balance in content

Faculty presenters for the CME activity should be aware that:

- Presentations, individually or in combination during the activity, must give a balanced view of the therapeutic options.
- Generic names of products contribute to impartiality. If trade names are used, those of several companies should be used.
- For FDA regulated products, unapproved uses (i.e. “off-label” uses) must be identified as such.
- If information is to be presented that is not established medical practice, the information must be identified as such.

How will faculty be informed of these requirements concerning presentation content? (Check all that apply.)

☐ Verbally by the Faculty Planner/Project Lead
☐ Written communication by the Faculty Planner/Project Lead
☐ Other

Is this CME activity designed to achieve outcomes in any of the following ways?

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>Measures performance changes of learners AND demonstrates improvements in their performance.</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Demonstrate improvements in healthcare quality.</td>
<td>☐</td>
<td>☐</td>
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PI CME Project Plans

Attach a copy of the completed *PI CME Project Preliminary Worksheet* form. When completing the worksheet, enter "TBA" for information not yet known.

A draft of the completed worksheet will be submitted as an attachment to this application.

- [ ] Yes
- [ ] No

Communicating the Purpose and Expected Results

Attach a draft of the enrollment memo to be provided to prospective participants regarding enrolling in the PI CME activity. This document should explain the problem, goal, participation requirements, and contain the required disclosure and accreditation/credit designation statements.

A draft of complete promotional material to be communicated to prospective participants will be submitted as an attachment to this application.

- [ ] Yes
- [ ] No

Information about the target audience, purpose, and expected results of the activity should also be conveyed to everyone involved in leading the PI CME project to guide activities.

How will this information be communicated to the faculty presenter(s) responsible for conducting the educational activity? *(Check as many as apply.)*

- [ ] Written communication by the Faculty Planner/Project Lead
- [ ] Verbally by the Faculty Planner/Project Lead
- [ ] Other

Demonstrate improvements in patient or community outcomes.
**Report on a PI CME activity**

The *Report on a PI CME Activity* regarding following the requirements for credit designation must be completed by the Faculty Planner/Project Lead within thirty days of the end of the project.

**Will the Faculty Planner/Project Lead ensure that OCME&LL receives the required *Report on a PI CME Activity*?**

- [ ] Yes
- [ ] No

**Participation and Participants' Views**

After the *Report on a PI CME Activity* has been submitted by the Faculty Planner/Project Lead and reviewed, OCME&LL will provide an email message with a link to a customized online attestation form to the Faculty Planner/Project Lead, who will distribute copies to individual participants. The completed forms will provide the final participant list and the participants' comments will provide part of the information to evaluate the activity.

Once the participant attestation forms are complete, OCME&LL will award participants PI CME credit.

- For UM participants, this is added to their transcript of participation in UM CME activities maintained by OCME&LL.
- For non-UM participants, OCME&LL will send a CME certificate to retain in their personal records of CME participation.

**Will the Faculty Planner/Project Lead ensure that OCME&LL receives the required documentation as described above?**

- [ ] Yes
- [ ] No

**FINANCIAL MANAGEMENT**

**Budget/Finances**

**What funds will pay for the expenses of this CME activity?** *(Check as many as apply.)*

- [ ] Internal funds of a UM Medical School Unit
- [ ] Participant registration fees of $__________ (fee per person)
Financial or “in-kind” (e.g., equipment loan) support from a commercial company

Other support (e.g., federal grant)

Will the Faculty Planner/Project Lead for this activity assure that the management of all of the funds for the CME activity adhere to University policies and procedures?

☐ Yes
☐ No

Guest Faculty Honoraria

Will this activity involve honoraria paid to non-Medical School personnel?

☐ Yes
☐ No

The Medical School has established general guidelines for guest faculty honoraria and should approve, in advance, any proposed honorarium over $1,500.

Will any honorarium payment for guest faculty associated with this activity exceed $1,500?

☐ Yes
☐ No

If yes, approval for an exception to the honoraria guidelines (any honorarium over $1,500) must be obtained in advance of the activity. Reasons for higher than normal honoraria include an unusually high number of hours worked or the honorarium being part of a special recognition (e.g., a named lectureship). Contact the Assistant Dean of Faculty Affairs to discuss your specific situation. Without prior approval, amounts outside the guidelines may not be paid.

Approval letters must be submitted with this application. If honoraria will be paid by another method (e.g., contracted services through the Purchasing Office), please contact OCME&LL.
Will the Faculty Planner provide a copy of the approval letter for honoraria over $1,500?

- Yes
- No

MANAGING RELATIONSHIPS WITH INDUSTRY

Expectations, Definitions, and Resources (Information)

Expectations. ACCME requires a sequence of the following related actions:

1. **Identification of relevant financial relationships at both the activity level and individual level.** If the content planned for the CME activity includes content related to the products or services of an ACCME-defined commercial interest, all individuals in control of content, e.g., planners and presenters, must disclose the presence or absence of relevant financial relationships.
   - NOTE: The phrase "planners and presenters" within this application refers to all individuals in control of educational content including, but not limited to, planners, planning committee members, presenters, teachers, authors, or anyone else who has control of, or responsibility for, content.

2. **Individual identified to resolve conflicts of interest for planners and presenters.** If a planner has no relationships, he or she usually assumes this responsibility. If a planner has a relevant financial relationship to disclose, the leadership of the content unit (e.g., department, division, section) should identify a non-conflicted faculty member with content expertise to assure independence and balance of content by reviewing and resolving the COI's for all other co-planners and presenters.

3. **Resolution of conflicts of interest.** For planners or presenters with relevant financial relationships to disclose, a mechanism for resolving the conflict of interest (COI) must be performed and documented prior to the start of the activity. For example, COI may be resolved by peer review or by not addressing the company's products.

4. **Disclosure to the participants before the activity.** The presence or absence of relevant financial relationships for all planners and presenters must be disclosed to participants before the activity begins.
   - Disclosure to participants must occur even for those planners and presenters who have no relationships.
   - For planners and presenters with a COI, the name of the person, the nature of the relationship, and the name of the commercial interest must be disclosed to participants.

5. **Documentation that disclosure to participants occurred must be submitted to OCME&LL** with this application.

Definitions. “Relevant financial relationships” are those in which an individual (including spouse/domestic partner) has both:

1. a personal financial relationship (any amount) with an ACCME-defined commercial interest (any entity producing, marketing, re-selling, or distributing health care
goods or services consumed by, or used on, patients) in the past 12 months, whether the relationship has now ended or is currently active.

2. control in planning or presenting educational content addressing specific products of the commercial interest with whom the relationship exists (not simply a whole class of products as a group).

Examples of an ACCME-defined commercial interest include, but are not limited to, pharmaceutical companies and medical device manufacturers.

The ACCME does not consider providers of clinical service directly to patients to be commercial interests. The following are not considered to be a commercial interest:

- Government organizations
- Non-health care related companies
- Liability insurance providers
- Group medical practices
- For-profit hospitals, rehabilitation centers, nursing homes
- Blood banks
- Diagnostic laboratories
- 501-C Non-profit organizations (Note: ACCME screens 501c organizations for eligibility. Those that advocate for commercial interests as a 501c organization are not eligible for accreditation in the ACCME system. They cannot serve in the role of joint provider, but they can be a commercial supporter.)

**Resources.** To assist planners in documenting the steps outlined above, OCME&LL makes available a variety of information, forms, and templates:

- Flowchart for Addressing Conflicts of Interest (COI) in CME Activities
- CME Disclosure form
- Annual Disclosure Summary (provided to OCME&LL at the beginning of each calendar year)
- Printed Disclosure Summary (provided to OCME&LL after each session)
- ACCME website

Other methods for disclosure and documentation may be acceptable. Please check with OCME&LL for guidance on other acceptable documentation.

**Identification of Activities that Involve the Use of Products in Patient Care**

Will any part of this CME activity include content related to products or services used on or consumed by patients? (e.g., medications or medical devices)

- [ ] Yes
- [ ] No

Based on your response to the previous question, no relevant financial relationship can exist.
Individual disclosure information from planners or presenters need not be collected. HOWEVER, disclosure to the participants that no relevant relationships exist and documentation of the disclosure statement made to participants must still occur:

- The absence of relevant financial relationships must be communicated to participants prior to the start of the activity, e.g., by advance email, handout provided to participants, or posted sign.
- Documentation of the disclosure provided to participants must be sent to OCME&LL, e.g., a copy of the advance email, handout provided to participants, or posted sign.

Will the Faculty Planner provide OCME&LL with documentation that the absence of relevant financial relationships was disclosed to participants?

- Yes
- No

Relevant financial relationships may exist. Since the activity being planned may address products or services of an ACCME-defined commercial interest, the following documentation is required:

- **Completed CME Disclosure form for Planners and Presenters.** With this application, submit CME Disclosure forms for the planner and other individuals who have a role in planning or presenting the content of the activity.
  - If resolution of COI is performed by someone other than a planner or co-planner, submit a copy of that individual's CME Disclosure form with this application.
- **Individual CME Disclosure forms for all planners and presenters with a COI.**
  - With this application, submit completed CME Disclosure forms for all planners and presenters with the "Resolution" section completed.
  - *Note: Documentation of the resolution of COI's must occur before the activity begins.*
- **Documentation of the disclosure provided to participants.** Within 30 days after the activity, submit a copy of the disclosure documentation provided to participants before the project began of the presence or absence of relevant relationships of the planners and presenters.
  - Disclosure to participants must occur even for those individuals who have no relationships.
  - For individuals with a COI, the name of the person, the nature of the relationship, and the name of the commercial interest must be disclosed.

The steps for obtaining the documentation outlined above are described in the following sections.
Identification of Relevant Financial Relationships at the Individual Level

**Planners:** Submit with the application for CME credit the completed CME Disclosure forms for the Faculty Planner and other individuals who have a role in planning or presenting the content of the activity. The CME Disclosure form is available [here](https://umichumhs.ut1.qualtrics.com/ControlPanel/Ajax.php?action=GetSurveyPrintPreview).

Does the Faculty Planner have a current relevant financial relationship with an ACCME-defined commercial interest whose products or services will be addressed in the CME activity?

- Yes
- No

The individuals below were previously identified as co-planners for this activity.

- Submit with this application completed CME Disclosure forms for all individuals listed below.
- Indicate which co-planners have identified COI's. *(Check all that apply.)*

☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead
☐ Co-Planner/Co-Lead

**Individual Identified to Resolve Conflict of Interest for Planners and Presenters**

Since the Faculty Planner does not have a current relevant financial relationship, he/she usually resolves the COI's of all other planners and presenters.

The individual identified below will assume responsibility for the following two steps:
• **Identification of COI.** The presence or absence of relevant financial relationships with ACCME-defined commercial interests for all planners and presenters should be collected and documented (typically using the CME Disclosure form) with sufficient lead time for any COI's to be identified and resolved prior to the start of PI CME activity.

• **Resolution of COI.** Usual mechanisms for resolving a COI are noted in the Resolution section at the bottom of the *CME Disclosure Form*. If using the *CME Disclosure* form as documentation, the individual resolving COI notes the process used, then signs and dates the form to indicate that resolution occurred. The person performing the resolution must have no current financial relationships relevant to the content of the activity.

**NOTE:**
- *If no COI's are identified by planners or presenters, a copy of the documentation of the disclosure provided to participants stating the individual's absence of relevant relationships is sufficient for the CME file.* (For information on disclosures provided to participants, see next section, "Disclosure to Participants & Documentation that Disclosure to Participants Occurred.")
- *If COI's are identified by either planners or presenters, submit for the CME file a copy of the individual's CME Disclosure Form with the Resolution section completed.* (Other methods of documentation of resolution may be acceptable. Contact OCME&LL for guidance.)

**The individual without a financial relationship relevant to this activity who will be resolving COI is:**

- Faculty Planner/Project Lead without a COI
- Faculty Co-Planner/Co-Lead without a COI
- Other individual without a COI *(Submit a completed CME Disclosure form for this individual to document that he/she has no COI.)*

**Individual Identified to Resolve Conflict of Interest for Planners and Presenters**

Since the Faculty Planner has a current relevant financial relationship, he/she should have the leadership of the content unit (e.g., department, division, section) identify a non-conflicted faculty member with content expertise to assure independence and balance of content by reviewing and resolving the COI's for all other co-planners and presenters.

**The individual identified below will assume responsibility for the following two steps:**

- **Identification of COI.** The presence or absence of relevant financial relationships with ACCME-defined commercial interests for all planners and presenters should be collected and documented (typically using the CME Disclosure form) with sufficient lead time for any COI's to be identified and...
resolved prior to participants engaging in the PI CME activity (e.g., reviewing baseline data to develop plans).

- **Resolution of COI.** Usual mechanisms for resolving a COI are noted in the Resolution section at the bottom of the CME Disclosure Form. If using the CME Disclosure form as documentation, the individual resolving COI notes the process used, then signs and dates the form to indicate that resolution occurred. The person performing the resolution must have no current financial relationships relevant to the content of the activity.

**NOTE:**
- If no COI's are identified by planners or presenters, a copy of the documentation of the disclosure provided to participants stating the individual’s absence of relevant relationships is sufficient for the CME file. (For information on disclosures provided to participants, see next section, "Disclosure to Participants & Documentation that Disclosure to Participants Occurred.")
- If COI's are identified by either planners or presenters, submit for the CME file a copy of the individual's CME Disclosure Form with the Resolution section completed. (Other methods of documentation of resolution may be acceptable. Contact OCME&LL for guidance.)

The individual without a financial relationship relevant to this activity who will be resolving COI is:

- Faculty Co-Planner/Co-Lead without a COI
- Other individual without a COI (Submit a completed CME Disclosure form for this individual to document that he/she has no COI.)

**Disclosure to Participants & Documentation that Disclosure to Participants Occurred**

**Methods for communicating the presence or absence of financial relationships to participants.** Accompanying each disclosure method described below are instructions for submitting the relevant documentation to OCME&LL.

OCME&LL monitors documentation of disclosure to participants. OCME&LL will revoke credit designation for any CME activity in which a pattern of non-compliance is identified but not rectified.

**Disclosures in an Enrollment Memo sent to potential participants in the PI CME project informing them how to enroll for AMA PRA Category 1 Credit.™**
- Used for activities that email or mail invitations to potential participants.
- An example of an Enrollment Memo for CME Activities Not Planned to Address Products or Services of an ACCME-Defined Commercial Interest is available
The faculty planner/project lead will include OCME&LL staff as recipients of the Enrollment Memo to document for the CME file that participants received the required CME information.

**Other Methods. Must include:**
- Documentation summarizing the PI project.
- Disclosure information placed toward the beginning of the handout material, so that participants can view the disclosure information prior to the beginning of the substantive educational content.
- An example of an *Printed Disclosure Summary for CME Activities Not Planned to Address Products or Services of an ACCME-Defined Commercial Interest* is available [here](https://umichumhs.ut1.qualtrics.com/ControlPanel/Ajax.php?action=GetSurveyPrintPreview).

**Documentation of disclosure to participants before the activity.** Submit a copy of the information disclosed to participants prior to the start of the PI CME activity regarding the absence of relevant financial relationships for all planners and presenters.

Submit required documentation to Julie ReBeau at juliwils@umich.edu

**Which method of disclosure to participants will you be using for this activity?**

- [ ] Enrollment Memo
- [ ] Other

**Disclosure to Participants & Documentation that Disclosure to Participants Occurred**

ACCME requires the following:

- **Disclosure to participant.** The presence or absence of relevant financial relationships for all planners and presenters must be communicated to participants prior to the start of the PI CME activity.

- **Documentation of disclosure.** Documentation of the disclosure provided to participants must be sent to OCME&LL for the CME activity file.

**Methods for communicating the presence or absence of financial relationships to participants.** Accompanying each disclosure method described below are instructions for submitting the relevant documentation to OCME&LL.

OCME&LL monitors documentation of disclosure to participants. OCME&LL will revoke credit designation for any CME activity in which a pattern of non-compliance is identified but not rectified.

**Disclosures in an Enrollment Memo sent to potential participants in the PI CME project informing them how to enroll for AMA PRA Category 1 Credit.™**
• Used for activities that email or mail invitations to potential participants.
• An example of an Enrollment Memo is available here. The faculty planner/project lead will include OCME&LL staff as recipients of the Enrollment Memo to document for the CME file that participants received the required CME information.

Other Methods. Must include:
• Documentation summarizing the PI project.
• Disclosure information placed toward the beginning of the handout material, so that participants can view the disclosure information prior to the beginning of the substantive educational content.
• An example of a Printed Disclosure Summary is available here.

Required documentation for ALL of the methods above:

• Documentation of resolution of COI before the activity. For all planners and presenters with relevant financial relationships, submit documentation that the resolution of all COIs occurred prior to participants engaging in the PI CME activity.

• Documentation of disclosure to participants before the activity. Submit a copy of the information disclosed to participants prior to the start of the PI CME activity regarding the presence or absence of relevant financial relationships for all planners and presenters.
  • Disclosure to participants must occur even for those planners and presenters who have no relationships.
  • For planners and presenters with a COI, the name of the person, the nature of the relationship, and the name of the commercial interest must be disclosed.

Note: When an individual has no COI, submitting a copy of the final documentation of disclosure made to participants is sufficient documentation of no COI; copies of CME Disclosure Forms need not be submitted to OCME&LL.

Submit required documentation to Julie ReBeau at juliwils@umich.edu

Which method of disclosure to participants will you be using for this activity?

☐ Enrollment Memo
☐ Other

If other, please describe the method by which disclosure information is obtained, conflicts resolved, and disclosure information communicated to participants.
Will documentation of the method by which disclosure information is obtained, conflicts resolved, and disclosure information communicated to participants sponsoring department be attached?

- Yes
- No

**COMMERCIAL SUPPORT**

UMMS policy prohibits receiving funding to support UMMS CME activities from commercial companies that "produce, market, re-sell, or distribute health care goods or services consumed by, or used on, patients." Funding includes gifts, grants, or exhibit fees. However, the policy allows in-kind commercial support (e.g., supplies, equipment).

What is the source of commercial support for this activity?

- None
- In-kind support

The CME activity and the in-kind commercial support must follow the ACCME Standards for Commercial Support as well as University of Michigan and Medical School policies. The ACCME Standards address: (1) independence, (2) resolution of conflicts of interest, (3) appropriate use of commercial support, (4) appropriate management of associated commercial promotion, (5) content and format without commercial bias, and (6) disclosures relevant to commercial bias. These Standards, in their entirety, are available for review at [www.accme.org](http://www.accme.org).

Is this CME activity and the commercial support clearly within these Standards and policies?

- Yes
- No

All in-kind commercial support must be documented with a written agreement signed by both parties. The agreement must be signed **before** the CME activity occurs. Documentation of all commercial support is to be maintained in the central file for the CME activity. A copy of every written agreement must be submitted to the OCME&LL. Written agreements completed before the application is submitted should be attached to this application. Agreements completed later may be submitted as they are made.
Copies of all agreements must be submitted before or with the Report on a PI CME Activity.

Will the Faculty Planner/Project Lead for this activity see that copies of agreements for all in-kind commercial support are submitted as they occur, attaching to this application copies of agreements completed to date?

☐ Yes
☐ No

All in-kind commercial support must be acknowledged to the participants and documentation that the acknowledgment occurred is required for the activity file in the OCME&LL. (Typically this information accompanies disclosures regarding the planner(s) and presenters.)

Will the Faculty Planner/Project Lead for this activity see that documentation of acknowledgment of support to participants is submitted to OCME&LL?

☐ Yes
☐ No

COMMERCIAL EXHIBITS, ADVERTISING, AND OTHER PROMOTIONAL ACTIVITIES

Will ACCME-defined commercial companies have commercial exhibits, advertisements, or other promotional activities in conjunction with this CME activity?

☐ Yes
☐ No

Exhibits, advertisements, and other promotional activities are allowed at UMMS CME activities. However, UMMS policy prohibits receiving funding (including exhibit fees) from commercial companies that “produce, market, re-sell, or distribute health care goods or services consumed by, or used on, patients or biomedical research subjects.” These commercial companies are permitted to exhibit, advertise, or engage in other promotional activities, but no fee can be charged. Other companies may be charged fees.

Will the Faculty Planner ensure that no ACCME-defined commercial companies be charged a fee for exhibits, advertisements, or other promotional activities in conjunction with this CME activity?

☐ Yes
MANAGEMENT OF COMMERCIAL EXHIBITS, ADVERTISEMENTS, AND OTHER PROMOTIONAL ACTIVITIES AT CME ACTIVITIES

Arrangements for commercial exhibits or advertisements cannot influence planning or interfere with the presentation, nor can they be a condition of the provision of commercial support for CME activities.

General restrictions:
- Product-promotion material or product-specific advertisement of any type is prohibited in or during CME activities.
- Promotional activities must be kept separate from CME.
- Educational materials that are part of a CME activity, such as slides, abstracts and handouts, cannot contain any advertising, corporate logo, trade name or a product-group message of an ACCME-defined commercial interest.
- Print or electronic information distributed about the non-CME elements of a CME activity that are not directly related to the transfer of education to the learner, such as schedules and content descriptions, may include product-promotion material or product-specific advertisement.

Additional restrictions: Live activities (e.g., in person)

Commercial Exhibits:
- Commercial exhibits cannot be in the same room as the educational activity including immediately before, during, and after an activity.
- Commercial exhibits must occur within a designated area.

Advertising and Promotion:
- For live, face-to-face CME, advertisements and promotional materials cannot be displayed or distributed in the educational space immediately before, during, or after a CME activity.
- Providers cannot allow representatives of commercial interests to engage in sales or promotional activities while in the space or place of the CME activity.

Additional restrictions: Internet live activities (e.g., webcast, live broadcasts)

Advertising and Promotion:
- Advertisements and promotional materials may not be visible on the screen at the same time as the CME content and not interleaved between computer windows or screens of the CME content.
- Advertising of any type is prohibited within the educational content of CME activities on the internet including, but not limited to, banner ads, subliminal ads, and pop-up window ads.
- With clear notification that the learner is leaving the educational Web site, links from the Web site of an ACCME accredited provider to pharmaceutical and device manufacturers’ product Web sites are permitted before or after the educational content of a CME activity, but shall not be embedded in the educational content of a CME activity.
Will the faculty planner ensure that the appropriate management of commercial promotion of this CME activity take place?

☐ Yes
☐ No

REQUIRED STATEMENTS ABOUT CREDIT

Printed Accreditation/Credit Designation Statements

The University of Michigan Medical School must be prominently displayed as the accredited provider on the program announcement and/or promotional material (i.e., letter of invitation, email notice, promotional brochure or flyer).

In addition, the following statements must be included on promotional materials associated with this activity:

The University of Michigan Medical School is accredited by the Accreditation Council for Continuing Medical Education (ACCME) to provide continuing medical education for physicians.

The University of Michigan Medical School designates this PI CME activity for a maximum of ____ AMA PRA Category 1 Credit(s)™. Physicians should claim only the credit commensurate with the extent of their participation in the activity.

Will the Faculty Planner/Project Lead ensure that the accreditation and credit designation statement will be used appropriately on all promotional materials?

☐ Yes
☐ No

REQUIRED STATEMENTS ABOUT CREDIT

Printed Accreditation/Credit Designation Statements

The University of Michigan Medical School must be prominently displayed as the accredited provider on the program announcement and/or promotional material (i.e., letter of invitation, email notice, promotional brochure or flyer).

In addition, the following statements must be included on promotional materials associated with this jointly provided activity:

This activity has been planned and implemented in accordance with the accreditation requirements and policies of the Accreditation Council for Continuing Medical Education (ACCME) through the joint providership of the University of Michigan
Medical School and [insert name of non-accredited provider]. The University of Michigan Medical School is accredited by the ACCME to provide continuing medical education for physicians.

The University of Michigan Medical School designates this PI CME activity for a maximum of ____ AMA PRA Category 1 Credit(s)™. Physicians should claim only the credit commensurate with the extent of their participation in the activity.

Will the Faculty Planner ensure that the jointly provided accreditation and credit designation statement will be used appropriately on all promotional materials?

- Yes
- No

**APPROVALS**

**Faculty Planner/Project Lead Approval**

By typing your name below, the person completing this form (the Faculty Planner/Project Lead or his/her administrative designee) attests this application accurately describes the CME activity. The Faculty Planner/Project Lead agrees to accept responsibility for all requirements as described in the application.

A copy of this application and all attachments will be emailed to the Faculty Planner/Project Lead, Administrative Contact (if applicable), and the Department Chair upon submission.

**Supporting Documents**

**You're Almost Done!**

**REQUIRED DOCUMENTATION**

The list below was generated by the answers provided throughout this application. All of the items are required and must be submitted along with this application for credit designation. Please attach each document requested in a single file.

Remember that your progress is automatically saved. *If you don't have the required documentation gathered, simply close the window now and come back later using*
your unique application link. However, OCME&LL will not review the application until all documents are complete.

**Note:** Incomplete applications will be automatically deleted from the system after thirty days of no activity.

If you have difficulty attaching the documentation, you may mail it to: OCME&LL, 1301 Catherine St., 5101 Med Sci I, Ann Arbor, MI 48109-5611. Applications will not be processed until all required documentation has been received.

**CME Disclosure Forms** ([Click for form.](#))

*Please attach all disclosure forms in one file here.*

You should include CME Disclosure Forms for the:
- Faculty Planner/Project Lead
- All co-planners/co-leads and/or planning committee members
- The person designated to resolve COI’s for this activity, if not one of the above
- All presenters
- Faculty planner at the organization that is jointly providing this activity (if applicable)

**PI CME Project Preliminary Worksheet**

*Please attach a copy here.*

**Draft of promotional materials for this activity.**

Note: Promotional materials should include target audience, learning objectives, full timed agenda, and the accreditation and credit designation statements.

Examples may include save the dates notices, brochures, flyers, or email invitations. If you need to upload additional documents, you may do so at the end of this form.

**Please provide the website address for this activity, if applicable.**
Draft Budget for a jointly provided activity. (Click for sample.)

Approval Letter from Faculty Affairs for honoraria over $1,500. If honoraria will be paid by another method (e.g., contracted services through the Purchasing Office), please contact OCME&LL.

Sample of Enrollment Memo that will be sent to participants containing planner, co-planner, and presenter disclosure information. (Click for sample.)

Sample of the method by which planner, co-planner, and presenter disclosure information will be communicated to participants.

Written Agreement for Commercial Support/Letter of Agreement (Click for sample.)

Printed Acknowledgment of the Source of Commercial Support that will be provided to participants. (Click for sample.)
If you are ready to **SUBMIT** your application, please choose the **NEXT** button.

To **SAVE** your application so that you can continue working on it, simply close the browser window.

*Progress is saved automatically.*

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